

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Yoshio Iwasaki

Serial No: 10/585,688

Filed: July 18, 2006

For: Display Strip and Commodity
Display Strip

Art Unit: Not Assigned

Examiner: Not Assigned

**TRANSMITTAL OF
INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sirs:

1. ☒ The information disclosure statement submitted herewith is being filed within three months of the filing date of the application other than a continued prosecution application, or within three months of the date of entry into the national stage of an international application, or before the mailing date of a first Office Action on the merits, or before the mailing of a first Office action after the filing of a request for continued examination under §1.114, whichever event occurs last. 37 C.F.R. §1.97(b).
2. ☐ The information disclosure statement transmitted herewith is being filed *after* the period specified in §1.97(b), but *before* the mailing date of a final action under §1.113, or a notice of allowance under §1.311, or an action that otherwise closes prosecution in the application, whichever occurs first. A statement specified in §1.97(e) or a fee set forth in §1.17(p) is included. 37 C.F.R. §1.97(c).

§1.97(e) STATEMENT

I, the person signing below, state:

- ☐ that each item of information contained in the information disclosure statement was first cited in the attached communication from a foreign patent office in a counterpart foreign application and that the communication is dated not more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(1).

OR

- ☐ that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in

§1.56(c) more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(2).

OR FEE

- ☐ Attached is a fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under §1.97(c). (\$180.00). [OR:] Please charge the fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under §1.97(c) (\$180.00) to Deposit Account No. 50-1314. A copy of this petition is enclosed.
3. ☐ The information disclosure statement transmitted herewith is being filed *after* the period specified in §1.97(c), but before, or simultaneously with the payment of the issue fee. A statement specified in §1.97(e) and a fee set forth in §1.17(p) are included. 37 C.F.R. §1.97(d).

§1.97(e) STATEMENT

I, the person signing below, state:

- ☐ that each item of information contained in the information disclosure statement was first cited in the attached communication from a foreign patent office in a counterpart foreign application and that the communication is dated not more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(1).

OR

- ☐ that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(2).

AND FEE


- ☐ Attached is a fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under §1.97(d). (\$180.00).
4. ☒ If it should be determined that for any reason either an insufficient fee or an excessive has been paid, please charge any insufficiency or credit any overpayment necessary to ensure consideration of the information disclosure statement for the above-identified application to Deposit Account No. 50-1314. **A copy of this petition is enclosed.**
5. ☒ A list of 48 reference(s) is in the enclosed Form PTO-1449.

NON-ENGLISH LANGUAGE REFERENCES

- ☐ Enclosed is a search report for a counterpart application. The search report Examiner has provided comments on the relevancy of any non-English language references cited in the search report.
- ☐ The specification incorporates comments on the relevancy of Non-English language references.
- ☐ Set forth below are comments provided by the applicant's home country counsel on the relevancy of non-English language references: N/A

Respectfully submitted,
HOGAN & HARTSON L.L.P.

Date: December 27, 2006
1999 Avenue of the Stars, Suite 1400
Los Angeles, California 90067
Telephone: 310-785-4600
Facsimile: 310-785-4601

By: 
Olga Berson
Registration No. 55,001
Attorney for Applicant(s)

Receipt date: 12/27/2006

FORM PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION <i>(Use several sheets if necessary)</i>	Docket Number (Optional) 89285.0009	Application Number 10/585,688
	Applicant Yoshio IWASAKI, et al.	
	Filing Date July 18, 2006	Group Art Unit Not Assigned

U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	7011883	03/14/06	IWASAKI	428	355	
	2006/086682	04/27/06	IWASAKI	211	113	
	2006/0163183	07/27/06	IWASAKI	211	113	
	4337862	07/06/82	SUTER	206	632	
	2005/0123749	06/09/05	IWASAKI et al	428	348	
	3864895	02/11/75	PETREA	53	159	
	3029939	04/17/62	FELDMAN	206	80	
	6502986	01/07/03	BENSUR et al	383	211	
	2004/0040919	03/04/04	IWASAKI et al	211	71.01	
	5366777	11/22/94	BOWN et al	428	43	
	2003/0113519	06/19/03	WASSERMAN et al	428	195	
	5120781	06/09/92	JOHNSON, Jr.	524	274	
	5919863	07/06/99	SEPPANEN	525	85	
	4629639	12/16/86	LUCAS	428	35	
	4013188	03/22/77	RAY	215	347	
	2004/0197514	10/07/04	IWASAKI et al	428	40.1	
	2004/0197577	10/07/04	IWASAKI et al	428	457	
	6221448	04/24/01	BAETZOLD et al	428	35.2	
	5433060	07/18/95	GUR et al	53	413	
	6481184	11/19/02	JUNKER et al	53	413	
	6960635	11/01/05	STEVENS et al	526	161	
	5145737	09/08/92	BOLTRON et al	428	334	

FOREIGN PATENT DOCUMENTS							
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
	04-115946	04/16/92	JAPAN			Abstract	
	63-132051	06/04/88	JAPAN			Abstract	

EXAMINER /William Watkins III/ (10/01/2011)	DATE CONSIDERED
---	------------------------

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

Receipt date: 12/27/2006

FORM PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION <i>(Use several sheets if necessary)</i>	Docket Number (Optional) 89285.0009	Application Number 10/585,688
	Applicant Yoshio IWASAKI, et al.	
	Filing Date July 18, 2006	Group Art Unit Not Assigned

FOREIGN PATENT DOCUMENTS							
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
	04-001151	01/07/92	JAPAN			Abstract	
	47-042226Y1	12/20/72	JAPAN			Abstract	
	0 444 865	02/26/91	EP				
	95/21770 (Also published as EP 0 742 772)	08/17/95	WO				
	62-251327	11/02/87	JAPAN			Abstract	
	03-200562	09/02/91	JAPAN			Abstract	
	08-276966	10/22/96	JAPAN			Abstract	
	2000-219789	08/08/00	JAPAN			Abstract	
	0 942 055	09/5/99	EP				
	98/52823	11/26/98	WO				
	2000-142650	05/23/00	JAPAN			Abstract	
	10-202801	08/04/98	JAPAN			Abstract	
	10-230971	09/02/98	JAPAN			Abstract	
	2001-315836	11/13/01	JAPAN			Abstract	
	03-069461	03/25/91	JAPAN			Abstract	
	07-289398	11/07/95	JAPAN			Abstract	
	10-080345	03/31/98	JAPAN			Abstract	
	1 167 220	01/02/02	EP				
	2002-037279	02/06/02	JAPAN			Abstract	
	0 989 162	03/29/00	EP				
	10-237405	09/08/98	JAPAN			Abstract	
	2000-129234	05/09/00	JAPAN			Abstract	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)	
	Schimtz, Peter et al., "Films." Ullmann's Encyclopedia of Industrial Chemistry, 5 th Ed., Vol. A11 (1988), pp85-95, 108-110

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /W.W./

EXAMINER William Watkins III/ (10/01/2011)	DATE CONSIDERED
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.	